

Program

Defending and Protecting the Corporation: Managing Cross-Border Criminal Liability

Session 1:

Trends in Enforcement, Self-Regulation & Corporate Governance

Current trends in regulatory and criminal law in Italy, the UK and US require varying degrees of self-regulation as a means of deterring fraudulent and criminal conduct. This panel will explore the legal requirements in these jurisdictions and highlight the trends in enforcement of these requirements by regulatory agencies focusing on cross-border implications.

- MODERATOR:** PROF. EMANUELE RIMINI, Partner, Studio Rimini; Full Professor of Commercial Law at Statale University, Faculty of Law, Milan; Proboviro of Borsa Italiana
- Lord (Peter) Goldsmith QC, Partner, Debevoise & Plimpton LLP
 - Honorable Michael B. Mukasey, Partner, Debevoise & Plimpton LLP
 - Dott. Francesco Di Carlo, Partner, Annunziata e Associati
 - Avv. Luigi Isolabella, Partner, Studio Isolabella

Session 2:

The Consequences of Failure in Self-Regulation

Failures in self-regulation may lead to regulatory sanctions and criminal penalties. This panel will discuss actions the company should take in the event of failure of self-regulation, including the need to retain outside counsel, conduct internal investigations, self-report in various jurisdictions, and in some instances, defend itself against regulatory or criminal proceedings.

- MODERATOR:** AVV. UMBERTO AMBROSOLI, Studio Isolabella & Director, Nardello & Co. Srl
- Bruce E. Yannett, Partner, Debevoise & Plimpton LLP
 - Dott. Eugenio Fusco, Prosecutor
 - Avv. Francesco Isolabella, Partner, Studio Isolabella

Session 3:

Corporate Governance & Compliance as Vehicles for the Protection of the Corporation

Establishing adequate and appropriate corporate governance mechanisms has become a primary step in preventing breaches in self-regulation and identifying and investigating possible breaches as they arise. This panel will focus on current legal and other standards for corporate governance within the framework of effective self-regulation, especially as they apply to public companies, and ways to use corporate governance as a method of self-regulation.

- MODERATOR:** PROF. FILIPPO ANNUNZIATA, Partner, Annunziata e Associati; Prof. Commercial Law and of Intermediaries & Financial Markets Law at Luigi Bocconi University, Milan; Director, Nardello & Co. Srl
- Avv. Umberto Mosetti, Head of Corporate Governance Department, University of Turin, Deputy Chairman, Amber Capital Italia SGR S.p.A
 - Dott. Claudio Salini, CONSOB
 - Dott. Edoardo Guffanti, Partner, Annunziata e Associati
 - Daniel Nardello, Principal, Nardello & Co.

MONDAY, MARCH 15, 2010 ■ Palazzo Mezanotte, Milano ■ 2:30 PM
TO RESERVE SPACE PLEASE CONTACT: rsvp@nardelloandco.com

